



EXPERIENCED DIRECTORS NAVIGATING THE GLOBAL FUNDS INDUSTRY

EXPERTISE

INDEPENDENCE

COMMITMENT

The Harbour Directors
operate to a world-class
standard, with governance,
responsiveness and
transparency at its core.

www.harbour.ky



OVERVIEW

CORPORATE GOVERNANCE IS A KEY FOCUS THROUGHOUT THE FINANCIAL SERVICES INDUSTRY, AS MANAGERS, INVESTORS, AND REGULATORS HAVE A CLEAR EXPECTATION THAT BOARD DIRECTORS ARE STRONG, ACTIVE AND INDEPENDENT.

Our expertise and independence are at the core of our business. Our uncompromising approach along with an experienced and stable team of directors sets us apart.

Harbour represents the full complement of services, from stand-alone funds with basic investment strategies to large fund complexes trading esoteric securities and employing technical trading programs.

Our team at Harbour are respected leaders in the Cayman Islands fund industry and serve in various roles on key industry associations such as Cayman Finance, the Alternative Investment Management Association, the Cayman Islands Directors' Association, 100 Women in Finance, and the Chartered Alternative Investment Analyst Cayman Islands Chapter.

EXPERTISE

Harbour provides services to many of the world's largest fund managers and the team are constantly evolving to meet our needs of managers, investors, and regulators alike. Our fund directors are not only experts at their roles, but also anticipating and proactively managing change.

INDEPENDENCE

Harbour directors are independent of all other fund service providers. Our team provides unbiased oversight of the various fund structure participants, avoiding real and perceived conflicts of interest, preserving the integrity of fund governance at all times.

COMMITMENT

Harbour builds value throughout each client relationship. The combination of our expertise, independence and strength of commitment to the client is proven, resulting in a bespoke service for each client. Our values ensure impeccable governance and relationships based on responsive, transparent operations.



SERVICES

DIRECTORSHIPS

Directors have ultimate responsibility for overseeing all aspects of a fund's operation – including those functions delegated to the investment manager and other service providers. Directors must have extensive industry experience and appropriate professional qualifications.

ADVISORY COMMITTEES

A simple and effective approach to achieve independent oversight of a limited partnership is to appoint an Advisory Committee. An Advisory Committee is comprised of non-executive directors to provide independent oversight that benefits all investors.

Typically, an Advisory Committee operates much like a fund board, and is required to consent to material decisions such as the change of a service provider, a change in investment strategy, suspension of dealing, or the winding-up of the fund.

The limited partnership agreement of any limited partnership can easily be amended to give an Advisory Committee the same rights and responsibilities as a corporate board. In a master-feeder structure, Harbour can provide individuals to serve as Directors and Advisory Committee members.

In addition to providing individuals as directors, Harbour can also provide corporate directors to serve as trustees. This ensures the Harbour team can service the full complement of investment fund structures, including corporations, partnerships and unit trusts.

ANCILLARY SERVICES

Harbour can provide related services throughout the lifecycle of a fund. These services can be provided in conjunction with our fund directorships or on a stand-alone basis and include:

AML Officer Services

Every Fund domiciled in the Cayman Islands is required to appoint an AML Compliance Officer, a Money Laundering Reporting Officer, and a Deputy Money Laundering Reporting Officer. Harbour provides suitable qualified individuals to fulfil all of these roles.

Trustee Services

As a licensed trust company, Harbour can serve as the trustee for funds structured as Cayman Islands unit trusts. Harbour can also hold the voting shares of a fund in trust, and an affiliated company can provide trustee and enforcer services in Cayman Islands STAR Trust structures.

Registered and Principal Office Services

All Cayman Islands funds are required to maintain a registered office within the jurisdiction. Licensed funds are required to maintain a principal office. Harbour provides both registered office and principal office services.

Company Secretarial Services

A fund may elect to appoint a company secretary to assist with the scheduling of board meetings, the preparation of board materials, and the recording of official board minutes. We have industry leading software that can be used to prepare, distribute and store board materials for each client. We can tailor our secretarial services to the specific needs of each client.

Administration Services

Whilst we do not provide administration services to funds where we are directors, through a licensed affiliate, we can assist clients with bespoke fund administration requirements. Services include NAV calculation, accounting and shareholder services.

Liquidations and Distressed Situations

Harbour directors have extensive experience in working through distressed situations including the wind down of underperforming portfolios, illiquid assets and side pockets as well as in dealing with suspended redemptions, gates and redemptions in kind. Further, through an affiliate, we are also able to provide full insolvency and formal liquidation services.

TEAM

The Harbour team has extensive knowledge and industry experience across a wide variety of fund structures and strategies.

The team have collectively spent a significant number of years specialising in various aspects of the funds industry, including experience working in the Big 4 accounting firms, the largest fund administration firms and roles in operational due diligence.



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ABOUT

THE HARBOUR TRUST CO. LTD ("HARBOUR TRUST") AND HTC FIDUCIARY SERVICES LIMITED ("HTC", AND TOGETHER WITH HARBOUR TRUST DOING BUSINESS AS "HARBOUR") SPECIALIZE IN THE PROVISION OF DIRECTORSHIPS AND RELATED FUND DIRECTOR SERVICES.

Harbour Trust holds an Unrestricted Trust Company License and a Mutual Fund Administrator's License and is regulated by the Cayman Islands Monetary Authority ("CIMA"). Each of the directors provided by Harbour are also registered with CIMA under the Director Registration and Licensing Act, 2014.

Harbour Trust and HTC are wholly owned subsidiaries of the Cayman Islands office of Rawlinson & Hunter LLP ("R&H Cayman"), which has been in business since 1973. R&H Cayman is a fully licensed and regulated professional services firm, including a robust infrastructure with all partners and over 80 staff within the Cayman Islands, as well as access to multi-jurisdictional resources.

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